

Firm Brochure

(Part 2A of Form ADV)

Fike Advisors, LLC

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This brochure provides information about the qualifications and business practices of Fike Advisors, LLC. Being registered as a registered investment adviser does not imply a certain level of skill or training. If you have any questions about the contents of this brochure, please contact us at: 330-264-1100, or by email at: brad@fikeadvisors.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission, or by any state securities authority.

Additional information about Fike Advisors, LLC (IARD#153568) is available on the SEC's website at www.adviserinfo.sec.gov

June 26, 2019

Item 2: Material Changes

Annual Update

The Material Changes section of this brochure will be updated annually or when material changes occur since the previous release of the Firm Brochure.

Material Changes since the Last Update

This update is in accordance with the required annual update for Registered Investment Advisors. Since the last filing of this brochure on February 26, 2019 the following changes have been made:

- Item 4 has been updated to disclose our most recent calculation for client assets under management.
 - Item 5 fees for asset management have been updated.
-

Full Brochure Available

Whenever you would like to receive a complete copy of our Firm Brochure, please contact us by telephone at: 330-264-1100 or by email at: brad@fikeadvisors.com.

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Item 4: Advisory Business

Firm Description

Fike Advisors, LLC, ("FA") was founded in 2010. As of July of 2018, Bradley Fike is 90% owner and Taylor Fike is 10% owner.

FA provides personalized confidential financial planning and solicits for third party investment managers to individuals, trusts, estates, and charitable organizations. Advice is provided through consultation with the client and may include: determination of financial objectives, identification of financial problems, cash flow management, tax planning, insurance review, investment management, education funding, retirement planning, and legacy planning.

FA is a fee-based financial planning firm. The firm does not sell annuities, insurance, stocks, bonds, mutual funds, limited partnerships, or other commissioned products. The firm's Managing Member is affiliated with an entity that sells financial insurance products.

Other professionals (e.g., lawyers, accountants, insurance agents, etc.) are engaged directly by the client on an as-needed basis. Conflicts of interest will be disclosed to the client in the event they should occur.

Types of Advisory Services

FINANCIAL PLANNING AND CONSULTING

If financial planning services are applicable, the client may choose to compensate FA on an hourly basis described in detail under "Fees and Compensation" section of this brochure. Services include but are not limited to a thorough review of all applicable topics including Wills, Estate Plan/Trusts, Investments, Taxes, and Insurance. A conflict of interest exists between the interests of the investment advisor and the interests of the client. The client is under no obligation to act upon the investment advisor's recommendation. If the client elects to act on any of the recommendations, the client is under no obligation to effect the transaction through FA. Financial plans will be completed and delivered inside of six (6) months. Clients may terminate advisory services with thirty (30) days written notice.

INVESTMENT ANALYSIS

FA provides an investment analysis on stocks, bonds, mutual funds to all clients.

CO-ADVISOR - ASSETS UNDER MANAGEMENT

FA acts as a co-advisor with Third Party Managers ("TPM") FA currently has agreements with Matson Money, Inc. ("MM") and Sowell Financial Services, LLC/Evidence Based Advisors, LLC (DFS/EBA") to manage client accounts. When determining the TPM platform to recommend to clients, the client's best interest will be the main determining factor of FA. FA acts as the co-advisor between the client and TPM in return for the advisory fees collected from the accounts by TPM. FA is responsible for:

- helping the client complete the necessary paperwork of TPM;
- updating TPM with any changes in client status which are provided to FA by the client;
- reviewing the quarterly statements provided by TPM; and
- delivering the Form ADV Part 2, Privacy Notice and Written Disclosure Statement of TPM to the client.

These practices represent conflicts of interest because FA is paid a management fee for placing client assets with TPM and may choose to recommend a portfolio of TPM based on the fee FA is to receive. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation and by the fact that Clients are not required to accept the recommendation to use TPM given by FA and have the option to received investment advice through other money managers of their choosing.

Clients placed with TPM will be billed in accordance with TPM's Fee Schedule which will be disclosed to the client prior to signing an agreement. TPM charges the advisory fee for FA, but remits the entire deducted amount to FA. This is detailed in Item 5 of this brochure.

ASSET MANAGEMENT OF AFS MUTUAL FUNDS

FA offers discretionary asset management services through a platform made available through American Funds Service Company ("AFS"), where clients are invested in portfolios constructed solely of mutual funds managed by American Funds.

SOLICITOR ARRANGEMENTS

FA solicits the services of Third Party Money Managers to manage client accounts. In such circumstances, FA receives solicitor fees from the Third Party Money Manager. This is detailed in Item 10 of this brochure.

Client Tailored Services and Client Imposed Restrictions

The goals and objectives for each client are documented in our client files. Investment strategies are created that reflect the stated goals and objective. Clients may impose restrictions on investing in certain securities or types of securities.

Agreements may not be assigned without client consent.

Wrap Fee Programs

FA does not participate in wrap fee programs.

Client Assets under Management

As of June 21, 2019 FA, managed approximately \$4,607,002 in client assets on a discretionary basis and none on a non-discretionary basis.

Item 5: Fees and Compensation

Method of Compensation and Fee Schedule

FINANCIAL PLANNING and CONSULTING

Financial Planning Services are offered based on an hourly fee of \$200 per hour. Prior to the planning process the client will be provided an estimated plan fee. Services include but are not limited to a thorough review of all applicable topics including Wills, Estate Plan/Trusts, Investments, Taxes, and Insurance. The payments are received in two (2) installments: half at the commencement of the planning process, the final payment is due upon delivery of completed plan. Client may cancel within five (5) days of signing Agreement for a full refund. If the client cancels after five (5) days, any unearned fees will be refunded to the client. If client cancels after five (5) business days, a pro-rata refund will be issued to the client based on the work completed.

INVESTMENT ANALYSIS

Investment analysis is available to all clients for a negotiable fixed fee ranging between \$150 and \$250, depending on the complexity of the analysis. The fees are payable upon signing the

agreement. Client may cancel within five (5) days of signing Agreement for a full refund. If the client cancels after five (5) days, any unearned fees will be refunded to the client. The analysis will be delivered to the client within ninety (90) days.

CO-ADVISOR FEES

Matson Money, Inc. ("MM")

FA acts as a co-advisor with Matson Money, Inc. ("MM") to manage client accounts. FA will not have discretion; however, MM will have discretionary trading authorization. In such circumstances, FA receives the management fees collected MM. The client will not pay additional advisory fees to MM for these services. MM provides asset allocation investment advisory services through unaffiliated registered investment advisors or registered representatives of dual registered broker-dealers. FA and its supervised persons has an incentive to recommend investment products based on the compensation received, rather than on a client's needs; clients have the option to purchase investment products FA recommends through other brokers or agents that are not affiliated.

Under the **Matson Fund Platform**, FA charges an annual fee based on assets under management. FA's fee is based on a maximum annual fee of .25% to 1.4% of the assets. The fee will be disclosed to the client in the Investment Advisory Agreement and is negotiable based on the amount of assets and the Model Portfolio chosen. Client will sign a tri-party agreement authorizing MM to deduct the fees from their account. FA informs MM of their portion of the fees, MM calculates the fees and MM charges the advisory fee for FA, but remits the entire deducted amount to FA. MM does not receive its advisory fees from the client, but indirectly through the management fees MM charges to the Matson Fund in which the clients are recommended to invest in. MM receives fees of .50% per annum on the average net assets of each series of the Matson Fund as the Adviser to the Matson Fund and this fee, paid through the Matson Fund is in addition to the management fees charged by FA. These fees do not include brokerage fees that may be assessed by the custodial broker dealer.

The fees will be charged quarterly in advance and are based on the amount of assets under management as of the last day of the previous quarter. In the event the client terminated the agreement within the quarter, the client is entitled to retain a pro-rata refund based on the number of days the client is not invested during the current quarter.

Sowell Financial Services, LLC along with Evidence Based Advisors, LLC ("SMS/EBA")

FA also acts as a co-advisor with SMS/EBA. FA will not have discretion, however, SMS/EBA will have discretionary trading authorization. In such circumstances, FA receives the management fees collected by SMS/EBA.

The maximum annual fee will be 1.35% with SMS/EBA retaining .40%. The fee will be disclosed to the client in the Investment Advisory Agreement and is negotiable based on the amount of assets being managed.

ASSET MANAGMENT

Fees for accounts held at AFS shall be charged as follows:

Assets Under Management	Annual Fee	Quarterly Fee
Up to \$999,999	0.75%	0.1875%
\$1,000,000 - 1,999,999	0.50%	0.125%
Over \$2,000,000	0.25%	0.0625%

This is a tiered or breakpoint fee schedule, the entire portfolio is charged the same asset management fee. For example, a Client with \$1,500,000 under management would pay \$7,500 on an annual basis. $\$1,500,000 \times 0.50\% = \$7,500$.

The annual fees will be negotiable and billed quarterly in arrears. The fees will be calculated by AFS for each quarter period ending the last business day of February, May, August and November and shall be the product of (i) the average daily net asset value of Client assets invested in shares of the Funds through the Program during the quarter; (ii) the number of days in the quarter; and (iii) the rate agreed to by the parties divided by the number of days in the year. The fee shall be paid within thirty (30) days following the end of the quarter for which such fees are payable.

AFS shall deduct fees from Client accounts to pay FA.

Lower fees for comparable services may be available from other sources. Clients may terminate their account within five (5) business days of signing the Investment Advisory Agreement for a full refund. Clients may terminate advisory services with thirty (30) days written notice. For accounts closed mid-quarter, FA will be entitled to a pro rata fee for the days of service was provided in the final quarter. Client shall be given thirty (30) days prior written notice of any increase in fees. Client will acknowledge, in writing, any agreement of increase in said fees before any increase in fees occurs.

SOLICITOR FEES

FA at times will utilize the services of third-party money managers and receive a solicitor fee for soliciting clients. The client will not pay additional advisory fees to the Third-Party Money Manager for these services. This is detailed in Item 10 of this brochure.

Client Payment of Fees

Fees for financial plans are billed 50% in advance, with the balance due upon delivery of the financial plan. Investment analysis fees are paid in advance. Co-Advisor and Solicitor fees are paid in accordance with the respective money managers' fee schedule and are outlined in their Form ADV Part 2.

Additional Client Fees Charged

Custodians may charge transaction fees on purchases or sales of certain mutual funds, equities and exchange-traded funds. These charges may include mutual fund transactions fees, postage and handling and miscellaneous fees (fee levied to recover costs associated with fees assessed by self-regulatory organizations). The selection of the security is more important than the nominal fee that the custodian charges to buy or sell the security.

For more details on the brokerage practices, see Item 12 of this brochure.

Prepayment of Client Fees

Financial Planning fees are billed 50% in advance with the remainder due at the delivery of the completed plan. Investment Analysis fees are billed 100% in advance. FA does not require prepayment of fees of more than \$500 per client and six (6) months or more in advance.

External Compensation for the Sale of Securities to Clients

FA does not receive any external compensation for the sale of securities to clients, nor do any of the investment advisor representatives of FA.

Item 6: Performance-Based Fees

Sharing of Capital Gains

Fees are not based on a share of the capital gains or capital appreciation of managed securities.

FA does not use a performance-based fee structure because of the conflict of interest. Performance-based compensation may create an incentive for the adviser to recommend an investment that may carry a higher degree of risk to the client.

Item 7: Types of Clients

Description

FA generally provides investment advice to individuals, trusts, estates, , corporations and business entities.

Client relationships vary in scope and length of service.

Account Minimums

FA does not require a minimum to open an account.

Item 8: Methods of Analysis, Investment Strategies and Risk of Loss

Methods of Analysis

As mentioned in Item 4, FA is a co-advisor for Matson Money. Matson Money utilizes Modern Portfolio and the Efficient Market Philosophy to create and manage portfolios. Asset allocation is based on the economic research related to the manner in which various asset classes have performed and the correlation of their performance over time. This involves asset allocation with periodic rebalancing and/or re-optimization of portfolios and target allocations as needed.

Each Client's account is invested in accordance with the Client's asset allocation strategy. The assets are invested in specific asset class mutual funds or cash items based on target percentages of the total assets in the account. As markets fluctuate and values of account holdings change, the amounts actually allocated to each asset type in the account will either exceed or fall below the original target allocation. To correct these imbalances, the portfolio is periodically rebalanced or adjusted back to the original target.

Security analysis methods used by Third Party Money Managers may include fundamental analysis, technical analysis, and cyclical analysis. Investing in securities involves risk of loss that clients should be prepared to bear. Past performance is not a guarantee of future returns.

Fundamental analysis involves evaluating a stock using real data such as company revenues, earnings, return on equity, and profits margins to determine underlying value and potential growth. When creating a financial plan, FA utilizes fundamental analysis to provide review of insurance policies for economic value and income replacement.

When creating a financial plan, FA utilizes fundamental analysis to provide review of insurance policies for economic value and income replacement. Technical analysis is used to review mutual funds and individual stocks. The main sources of information include Morningstar, client documents such as tax returns and insurance policies.

In developing a financial plan for a client, FA's analysis may include cash flow analysis, investment planning, risk management, tax planning and estate planning. Based on the information gathered, a detailed strategy is tailored to the client's specific situation.

The main sources of information include financial newspapers and magazines, research materials prepared by others, corporate rating services, annual reports, prospectuses, and filings with the Securities and Exchange Commission.

Investment Strategy

The investment strategy for a specific client is based upon the objectives stated by the client during consultations. The client may change these objectives at any time. Each client executes an Investment Policy Statement or Risk Tolerance that documents their objectives and their desired investment strategy.

Other strategies may include long-term purchases, short-term purchases, trading, and option writing (including covered options, uncovered options or spreading strategies).

Security Specific Material Risks

All investment programs have certain risks that are borne by the investor. Fundamental analysis may involve interest rate risk, market risk, business risk, and financial risk. Risks involved with technical analysis are inflation risk, reinvestment risk, and market risk. Cyclical analysis involves inflation risk, market risk, and currency risk.

Our investment approach constantly keeps the risk of loss in mind. Investors face the following investment risks and should discuss these risks with FA:

The specific risks associated with financial planning include:

- Risk of Loss
 - Client fails to follow the recommendations of FA resulting in market loss
 - Client has changes in financial status or lifestyle and therefore plan recommendations are no longer valid

The risks associated with utilizing Third Party Money Managers include:

- Manager Risk
 - the Third-Party Money Manager fails to execute the stated investment strategy
- Business Risk
 - Third Party Money Managers has financial or regulatory problems
- The specific risks associated with the portfolios of the Third Party Money Manager's which is disclosed in the Third Party Money Manager's Form ADV Part 2.

Item 9: Disciplinary Information

Criminal or Civil Actions

The firm and its management have not been involved in any criminal or civil action.

Administrative Enforcement Proceedings

The firm and its management have not been involved in administrative enforcement proceedings.

Self-Regulatory Organization Enforcement Proceedings

The firm and its management have not been involved in legal or disciplinary events related to past or present investment clients.

Item 10: Other Financial Industry Activities and Affiliations

Broker-Dealer or Representative Registration

FA is not registered as a broker-dealer and no affiliated representatives of FA are registered representatives of a broker-dealer.

Futures or Commodity Registration

Neither FA nor its employees are registered or has an application pending to register as a futures commission merchant, commodity pool operator, or a commodity trading advisor.

Material Relationships Maintained by this Advisory Business and Conflicts of Interest

Managing Member Bradley Fike is a licensed insurance agent and investment advisor representative of another advisory firm. From time to time, he will offer clients advice or products from those activities.

These practices represent conflicts of interest because it gives Mr. Fike an incentive to recommend products based on the commission amount received. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent and/or investment advisor representative of their choosing.

Recommendations or Selections of Other Investment Advisors and Conflicts of Interest

FA may at times utilize the services of Third-Party Money Managers to manage client accounts. In such circumstances, FA will share in the Third Party asset management fee. Since the fee FA receives is the same regardless of the TPM chosen, this situation does not create a conflict of interest. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation to place the client's best interest first and will act accordingly. When referring clients to a Third Party Money Manager, the client's best interest will be the main determining factor of FA. These fees do not include brokerage fees that may be assessed by the custodial broker dealer. Fees for these services are based on a percentage of assets under management not to exceed any limit imposed by any regulatory agency. The final fee schedule is attached to Exhibit C in FA 's Investment Advisory Agreement.

This solicitor relationship is disclosed to the client in each contract between FA and Third Party Money Manager. FA does not charge additional management fees for Third Party managed account services. Client's signature is required to confirm consent for services within Third Party Investment Agreement. Client initials FA 's Investment Advisory Agreement to acknowledge receipt of Third Party fee Schedule and required documents including Form ADV Part 2 disclosures.

Item 11: Code of Ethics, Participation or Interest in Client Transactions and Personal Trading

Code of Ethics Description

The employees of FA have committed to a Code of Ethics ("Code"). The purpose of our Code is to set forth standards of conduct expected of FA employees and addresses conflicts that may arise. The Code defines acceptable behavior for employees of FA. The Code reflects FA and its supervised persons' responsibility to act in the best interest of their client.

One area in which the Code addresses is when employees buy or sell securities for their personal accounts and how to mitigate any conflict of interest with our clients. FA does not allow any employees to use non-public material information for their personal profit or to use internal research for their personal benefit in conflict with the benefit to our clients.

FA's policy prohibits any person from acting upon or otherwise misusing non-public or inside information. No advisory representative or other employee, officer or director of FA may recommend any transaction in a security or its derivative to advisory clients or engage in personal securities transactions for a security or its derivatives if the advisory representative possesses material, non-public information regarding the security.

FA's Code is based on the guiding principle that the interests of the client are our top priority. FA's officers, directors, advisors, and other employees have a fiduciary duty to our clients and must diligently perform that duty to maintain the complete trust and confidence of our clients. When a conflict arises, it is our obligation to put the client's interests over the interests of either employees or the company.

The Code applies to "access" persons. "Access" persons are employees who have access to non-public information regarding any clients' purchase or sale of securities, or non-public information regarding the portfolio holdings of any reportable fund, who are involved in making securities recommendations to clients, or who have access to such recommendations that are non-public.

The firm will provide a copy of the Code of Ethics to any client or prospective client upon request.

Investment Recommendations Involving a Material Financial Interest and Conflict of Interest

FA and its employees do not recommend to clients securities in which we have a material financial interest.

Advisory Firm Purchase of Same Securities Recommended to Clients and Conflicts of Interest

FA and its employees may buy or sell securities that are also held by clients. In order to mitigate conflicts of interest such as trading away of client trades, employees are required to disclose all reportable securities transactions as well as provide FA with copies of their brokerage statements.

The Chief Compliance Officer of FA is Bradley Fike. He reviews all employee trades each quarter. The personal trading reviews helps mitigate that the personal trading of employees does not affect the markets and that clients of the firm have received preferential treatment over employee trades.

Client Securities Recommendations or Trades and Concurrent Advisory Firm Securities Transactions and Conflicts of Interest

FA does not maintain a firm proprietary trading account and does not have a material financial interest in any securities being recommended and therefore no conflicts of interest exist. However, employees may buy or sell securities at the same time they buy or sell securities for clients. In order to mitigate conflicts of interest such as front running, employees are required to disclose all reportable securities transactions as well as provide FA with copies of their brokerage statements.

The Chief Compliance Officer of FA is Bradley Fike. He reviews all employee trades each quarter. The personal trading reviews ensure that the personal trading of employees does not affect the markets and that clients of the firm receive preferential treatment over employee transactions.

Item 12: Brokerage Practices

Factors Used to Select Broker-Dealers for Client Transactions

FA may recommend the use of a particular broker-dealer or may utilize a broker-dealer of the client's choosing. FA will select appropriate brokers based on a number of factors including but not limited to their relatively low transaction fees and reporting ability. FA relies on its broker to provide its execution services at the best prices available. Lower fees for comparable services may be available from other sources. Clients pay for any and all custodial fees in addition to the advisory fee charged by FA.

- *Directed Brokerage*
FA utilizes Third Party Money Managers and AFS, and therefore it does not take direction from clients as to what broker-dealer to use
- *Best Execution*
Investment advisors who manage or supervise client portfolios on a discretionary basis have a fiduciary obligation of best execution. FA does not exercise discretion of client accounts.
- *Soft Dollar Arrangements*
FA does not maintain any soft dollar arrangements.

Aggregating Securities Transactions for Client Accounts

FA does not trade for its or its clients' accounts other than on the AFS platform, and therefore aggregation of securities transactions is not applicable.

Item 13: Review of Accounts

Schedule for Periodic Review of Client Accounts or Financial Plans and Advisory Persons Involved

Account reviews are performed quarterly by advisor Bradley Fike. Account reviews are performed more frequently when market conditions dictate. Financial Plans are considered complete when recommendations are delivered to the client. A review is done only upon request of client.

Review of Client Accounts on Non-Periodic Basis

Other conditions that may trigger a review of clients' accounts are changes in the tax laws, new investment information, and changes in a client's own situation.

Content of Client Provided Reports and Frequency

Clients receive written account statements no less than quarterly for managed accounts. Account statements are issued by the custodian. Client receives confirmations of each transaction in account from Custodian and an additional statement during any month in which a transaction occurs.

Item 14: Client Referrals and Other Compensation

Economic Benefits Provided to the Advisory Firm from External Sources and Conflicts of Interest

FA receives a portion of the annual management fees collected by the Third Party Money Managers to whom FA refers clients.

This situation creates a conflict of interest because the firm and/or its Investment Advisor Representatives have an incentive to decide what Third Party Money Managers to use because of the higher solicitor fees to be received by the firm. However, when referring clients to a Third Party Money Manager, the clients' best interest will be the main determining factor of the firm and its representatives.

Advisory Firm Payments for Client Referrals

FA does not compensate for client referrals.

Item 15: Custody

Account Statements

All assets are held at qualified custodians, which means the custodians provide account statements directly to clients at their address of record at least quarterly. Clients are urged to compare the account statements received directly from their custodians to the performance report statements prepared by the Third Party Money Managers.

Item 16: Investment Discretion

Discretionary Authority for Trading

FA accepts discretionary authority to manage securities accounts on behalf of clients. FA has the authority to determine, without obtaining specific client consent, the securities to be bought or sold, and the amount of the securities to be bought or sold.

The client approves the custodian to be used and the commission rates paid to the custodian. Advisor does not receive any portion of the transaction fees or commissions paid by the client to the custodian on certain trades.

Item 17: Voting Client Securities

Proxy Votes

FA does not vote proxies on securities. Clients are expected to vote their own proxies. The client will receive their proxies directly from the custodian of their account or from a transfer agent.

Item 18: Financial Information

Balance Sheet

A balance sheet is not required to be provided because FA does not serve as a custodian for client funds or securities and FA does not require prepayment of fees of more than \$500 per client and six months or more in advance.

Financial Conditions Reasonably Likely to Impair Advisory Firm's Ability to Meet Commitments to Clients

FA has no condition that is reasonably likely to impair our ability to meet contractual commitments to our clients.

Bankruptcy Petitions during the Past Ten Years

Neither FA nor its management has had any bankruptcy petitions in the last ten years.

Item 19: Requirements for State Registered Advisors

Education and business background, including any outside business activities for all management and supervised persons can be found in the Supplement to this Brochure (Part 2B of Form ADV Part 2).

Material Relationship Maintained by this Advisory Business or Management persons with Issuers of Securities

None to report.

Supervised Person Brochure

Part 2B of Form ADV

Bradley Dean Fike

Fike Advisors, LLC

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This brochure supplement provides information about Bradley Fike and supplements Fike Advisors, LLC's brochure. You should have received a copy of that brochure. Please contact Bradley Fike if you did not receive Fike Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Bradley Fike (CRD#2715503) is available on the SEC's website at www.adviserinfo.sec.gov.

June 26, 2019

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

Principal Executive Officers and Management Persons

Bradley Dean Fike

- Year of Birth: 1958
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Educational Background and Business Experience

Educational Background:

- No Post High School Education

Business Experience:

- Essential Financial Planning, Inc.; Investment Advisor Representative; 12/2014 – Present
 - Fike Advisors, LLC; Investment Advisor Representative; 08/2010-Present
 - Fike Advisors, LLC; Managing Member; 03/2010 – Present
 - Bradley Fike Insurance; Insurance Agent; 10/1983-Present
 - SICOR Securities, Inc.; Registered Representative; 05/2010-12/2012
 - WRP Investments, Inc.; Registered Representative; 06/2003-05/2010
 - USAllianz Securities; Registered Representative; 02/2002-06/2003
 - Intervest International Equities Corporation; Registered Representative; 12/2001-02/2002
 - Richard B. Vance & Company; Registered Representative; 01/2000-12/2001
-

Disciplinary Information

None to report

Other Business Activities

Managing Member Bradley Fike is an insurance agent and an investment advisor representative of another advisory firm. From time to time, he will offer clients advice or products from those activities.

These practices represent conflicts of interest because it gives Mr. Fike an incentive to recommend products based on the commission amount received. This conflict is mitigated by disclosures, procedures, and the firm's Fiduciary obligation to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent and/or investment advisor representative of their choosing.

Performance Based Fee Description

Mr. Fike receives additional compensation in his capacity as an insurance agent and investment advisor representative, but he does not receive any performance-based fees.

Supervision

Mr. Fike is the Chief Compliance Officer of Fike Advisors, LLC; therefore he is solely responsible for all supervision and formulation and monitoring of investment advice offered to clients. He will adhere to the policies and procedures as described in the firm's Compliance Manual.

Requirements for State-Registered Advisors

Arbitration Claims: None to report.

Self-Regulatory Organization or Administrative Proceeding: None to report.

Bankruptcy Petition: None to report.

Supervised Person Brochure

Part 2B of Form ADV

Taylor Dean Fike

Fike Advisors, LLC

671 Winkler Drive

Wooster, OH 44691

PHONE: 330-264-1100

FAX: 330-262-3453

EMAIL: taylor@fikeadvisors.com

WEBSITE: www.fikeadvisors.com

This brochure supplement provides information about Taylor D. Fike and supplements Fike Advisors, LLC's brochure. You should have received a copy of that brochure. Please contact Taylor D. Fike if you did not receive Fike Advisors, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Taylor D. Fike (CRD#6314325) is available on the SEC's website at www.adviserinfo.sec.gov.

June 26, 2019

Brochure Supplement (Part 2B of Form ADV)

Supervised Person Brochure

Additional Investment Advisor Representative

Taylor Dean Fike

- Year of Birth: 1992
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Educational Background and Business Experience

Educational Background:

- University of Cincinnati; Bachelor's Degree in Accounting; 2014

Business Experience:

- Taylor Fike Insurance; Insurance Agent; 07/2018-Present
 - Fike Advisors, LLC; Investment Advisor Representative; 08/2014 – Present
 - Fike Advisors, LLC; Customer Service/Administrative; 05/2014 – 08/2014
 - University of Cincinnati; Full Time Student; 2011 - 2014
 - Smithville High School; Full Time Student; 2007 - 2011
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Disciplinary Information

None to report

Other Business Activities

Taylor Fike has a financial affiliated business as an insurance agent. Approximately 5% of his time is spent on this activity. He will offer clients services from this activity. As an insurance agent, he may receive separate yet typical compensation.

This practice represents a conflict of interest because it gives an incentive to recommend products based on the commission amount received. This conflict is mitigated by disclosures, procedures and the firm's fiduciary obligation to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

Performance Based Fee Description

Mr. Fike receives additional compensation in his capacity as an insurance agent, but he does not receive any performance-based fees.

Supervision

Taylor D. Fike is supervised by Bradley D. Fike, Chief Compliance Officer. He reviews Taylor's work through client account reviews, quarterly personal transaction reports as well as face-to-face and phone interactions.

Mr. Fike can be contacted at 330-264-1100 or by email at brad@fikeadvisors.com.

Requirements for State-Registered Advisors

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None
